

School Apportionments

Under California's system of school finance, general-purpose education funding is apportioned to school districts, county offices of education, and charter schools through a revenue limit system. In this system, each school district, county office of education, and charter school has a general-purpose funding amount per unit of average daily attendance (ADA). These amounts are supported through a combination of local property taxes and state General Fund aid. In effect, the state makes up the difference between the property tax revenues and the general-purpose funding amount for each local educational agency (LEA).

This chapter describes funding provided by the 2000-01 budget for general-purpose education and a number of other discrete programs funded through the principal apportionment system. These programs include Adult Education, Gifted and Talented Education (GATE), and Regional Occupational Centers and Programs (ROC/Ps). Two additional programs, Adults in Correctional Facilities and Opportunity Classes and Programs, are included in this chapter but are not apportioned through the principal apportionment system.

Revenue Limits

Budget Act Item: None—Statutory Appropriation

Resource Code 0000

[Education Code sections 2550 through 2573](#) (County Offices of Education) and [42238 through 42250.2](#) (School Districts)

2000-01 Budget Provisions

- The budget provides a 3.17 percent cost-of-living adjustment (COLA). For school districts, this amount is equivalent to \$132 per ADA for elementary school districts, \$159 per ADA for high school districts, and \$138 per ADA for unified districts, based on statewide average base revenue limits for the prior fiscal year.
- [Assembly Bill 2880 \(Chapter 76, Statutes of 2000\)](#) eliminates the revenue limit deficit factor.

- Beginning teacher salary incentive funding provided in 1999-00 is added to the total revenue limit computed pursuant to Section 42238.

CDE Staff Comments

The final date to correct 1999-00 Annual and second period (P-2) ADA for inclusion in the 2000-01 First Principal (P-1) Apportionment is October 15, 2000. See the “Calendar of Key Deadlines for 2000-01 Apportionments” (Appendix 1) for additional information regarding reporting dates.

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Adult Education Program

[Budget Act Item 6110-156-0001](#)

Resource Code 0000

[Education Code sections 52610 through 52616.24](#)

2000-01 Budget Provisions

- The budget provides \$537.6 million from the General Fund. This includes an increase of 2.5 percent for growth and a 3.17 percent COLA.
- \$18.3 million is apportioned for educational activities for recipients eligible for CalWORKs. Activities eligible for funding are limited to those designed to increase self-sufficiency, job training, and employment.
- A \$26.4 million augmentation (\$17.7 million from the General Fund and \$8.7 million in reimbursements) for CalWORKs is provided without a permanent increase in ADA caps. These funds may be used either in an adult education setting or in the Regional Occupational Centers and Programs setting. Local educational agencies (LEAs) must first meet CalWORKs

maintenance-of-effort requirements and fully claim existing ADA caps to be eligible for these additional funds.

CDE Staff Comments

Funding for this program is based on ADA as reported on Form J-18/19, Report of Attendance. The adult education block entitlements are calculated on Form S. The amount specified for CalWORKs recipients will be apportioned only after LEAs have fully claimed their adult education cap, which is determined by the Adult Education Office. These funds are planned for apportionment at the First Principal (P-1) Apportionment on February 20, 2001. See the “Calendar of Key Deadlines for 2000-01 Apportionments” (Appendix 1) for additional information regarding reporting dates.

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Adults in Correctional Facilities Program

[Budget Act Item 6110-158-0001](#)

Resource Code 6015

[Education Code sections 41840 through 41841.8](#)

2000-01 Budget Provisions

- The budget provides \$16.9 million from the General Fund. This includes a 2.5 percent increase for growth and a 3.17 percent COLA.
- The apportionment for each local educational agency (LEA) is capped at the 1998-99 level, adjusted for growth and COLA.
- Growth will first be allocated to LEAs with 20 or fewer ADA up to an additional 20 ADA.

CDE Staff Comments

Funding for this program is based on the lesser of current-year expenses or 80 percent of the adult education statewide average revenue limit multiplied by reported 1999-00 Annual ADA.

The 2000-01 amount, however, may not exceed the amount received in 1999-00, adjusted for growth and COLA. Expenditure data needed to calculate this apportionment must have been submitted on Form J-152 by September 25, 2000. CDE will apportion these funds in December 2000.

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Apprenticeship Program

[Budget Act Item 6110-103-0001](#)

Resource Code 0000

[Education Code sections 8150 through 8156](#)

2000-01 Budget Provisions

- The budget provides \$13.9 million from the General Fund. This includes an increase of \$4.4 million to fund increased apprenticeship caps, which will be approved by the Employment Preparation Unit.
- The reimbursement rate for each 60-minute hour of teaching time is \$4.86.
- New or expanded programs, to be eligible for state funding, require the approval of the State Superintendent of Public Instruction.

CDE Staff Comments

Funding for this program is based on the number of teaching hours per student as reported on Form J-18/19, Report of Attendance. The first period (P-1) Form J-18/19 is due on January 15, 2001. See the "Calendar of Key Deadlines for 2000-01 Apportionments" (Appendix 1) for additional information regarding reporting dates.

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Charter School Block Grants

[Budget Act Item 6110-211-0001](#) and Statutory Appropriation

Resource Code 0000

[Education Code sections 47630 through 47664](#)

2000-01 Budget Provisions

- [Assembly Bill 1115 \(Chapter 78, Statutes of 1999\)](#) created the charter school funding model. Participation in the model is required for all new charter schools (those receiving a number on or after June 1, 1999) and is optional for existing charter schools. All charter schools must convert to the new model by fiscal year 2002-03.
- The model provides participating charter schools a general-purpose block grant (in lieu of revenue limit funding) and a categorical block grant (in lieu of specified state categorical aid). Block grant funds may be used for any purpose. Charter schools may also apply for state and federal categorical funds from sources not included within the block grant funding rates, subject to the specific terms and conditions of those programs.
- The budget funds the general-purpose block grant through the continuous statutory appropriation for revenue limits. The budget also appropriates \$22.7 million for the categorical block grant.

CDE Staff Comments

An advance apportionment for continuing charter schools participating in the charter school funding model was made in July. New charter schools will receive a special advance apportionment pursuant to authorization provided in [Education Code Section 47652](#), as amended by [Senate Bill 1667 \(Chapter 71, Statutes of 2000\)](#). Sponsoring school districts (defined as the district that granted the charter or, in the case of charters granted by a county office of education or the State Board of Education, the district that initially denied the charter application) are also required to transfer a pro rata share of property taxes to charter schools in monthly installments as specified.

For additional information regarding the charter school model, please consult the charter school Internet Web site at <http://www.cde.ca.gov/charter>.

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Community Day Schools Program

[Budget Act Item 6110-190-0001](#)

Resource Code 2430

[Education Code sections 48660 through 48664](#)

2000-01 Budget Provisions

- The budget provides \$30.8 million from the General Fund, an increase of \$412,000.
- School districts with fewer than 2,501 ADA may apply for a waiver to receive funding not to exceed the amount for the necessary small high school formula for up to one teacher (\$80,040).

CDE Staff Comments

Community day schools are funded at \$4,381 per ADA (fifth- and sixth-hour additional attendance) for school districts and at \$3,325 per ADA for county offices of education. The hourly rate for the seventh and eighth hours of attendance is \$4.19. Community day schools are required to document costs and to certify that 90 percent of the total funds expended were actually spent on the program.

Funding for this program is based on fifth- and sixth-hour ADA and seventh- and eighth-hour pupil hours as reported on Form J-18/19, Report of Attendance for districts, and Form J-27/28, Report of Attendance for county offices of education. Entitlements are calculated on Schedule Z of the K–12 Revenue Limit forms for districts and Schedule C of Form O, Revenue Limit forms for county offices of education. See the “Calendar of Key Deadlines for 2000-01 Apportionments” (Appendix 1) for additional information regarding reporting dates.

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Continuation High Schools

[Budget Act Item 6110-187-0001](#)

Resource Code 2200

[Education Code Section 42243.7](#)

2000-01 Budget Provisions

- The budget provides \$1.04 million from the General Fund for a COLA.
- The revenue limit for each local educational agency (LEA) will be increased by a constant dollar amount determined by dividing the total amount appropriated by the total statewide 1999-00 second period (P-2) continuation high school ADA. The estimated amount per ADA equals \$20.43.

CDE Staff Comments

School districts eligible for this increase in revenue limit funding are those that operated a continuation high school in 1999-00. The amount to be allocated is in addition to the amount funded last year. Funding for new continuation high schools approved for the first time by CDE for 2000-01 is calculated on Schedule E of the K–12 Revenue Limit forms. See the “Calendar of Key Deadlines for 2000-01 Apportionments” (Appendix 1) for additional information regarding reporting dates.

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Elementary School Intensive Reading Program

[Budget Act Item 6110-205-0001](#)

Resource Code 0000

[Education Code sections 42239.1, 42239.2, and 53025 through 53031](#)

2000-01 Budget Provisions

- The budget provides \$86.2 million from the General Fund for elementary school intensive reading programs for kindergarten and grades 1–4.
- District entitlements for the programs are capped at 10 percent of prior-year enrollment multiplied by 120 hours and the supplemental instructional hourly rate of \$3.25 (no deficit).
- A minimum funding level of \$6,980 is provided for small school districts that offer at least 1,500 hours of instruction with prior-year enrollment less than 500 ADA. Small school districts that offer less than 1,500 hours will be reimbursed at the hourly rate of \$4.65.

CDE Staff Comments

Funding for elementary school intensive reading programs for 2000-01 is based on the number of student hours reported on the attendance forms. See the “Calendar of Key Deadlines for 2000-01 Apportionments” (Appendix 1) for additional information regarding reporting dates.

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Gifted and Talented Education (GATE)

[Budget Act Item 6110-124-0001](#)

Resource Code 7140

[Education Code sections 52200 through 52212](#)

2000-01 Budget Provisions

- The budget provides \$51.9 million from the General Fund. This includes a 1.45 percent increase for growth and a 3.17 percent COLA.

CDE Staff Comments

Funding for this program is based on prior-year ADA or on the number of pupils participating in GATE for either one or two semesters, depending on the size of the district. This information is reported on the Form J-22 Supplement. GATE Form J-22 for the First Principal (P-1) Apportionment must be sent to CDE by January 15, 2001. See the “Calendar of Key Deadlines for 2000-01 Apportionments” (Appendix 1) for additional information regarding reporting dates.

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Necessary Small School Funding

Budget Act Item: None—Statutory Appropriation

Resource Code 0000

[Education Code sections 42280 through 42289.5](#)

2000-01 Budget Provisions

- The budget provides a 3.17 percent COLA for Necessary Small School Funding.

CDE Staff Comments

Entitlements for this program are calculated on Schedule F of the K–12 Revenue Limit forms. The tables that follow display the Necessary Small School funding formulas that qualifying districts or schools may elect in lieu of revenue limit-based support.

Elementary schools. For an elementary school district with a single school with less than 97 ADA, and for each qualifying necessary small elementary school within a school district of less than 2,501 ADA, the 2000-01 Necessary Small School amounts will be computed either on the school’s ADA or on the number of full-time teachers, whichever provides the lesser amount.

Table 6 - Necessary Small School Amounts**Elementary Schools**

Number of Teachers	ADA	Estimated Amount
1	1–24	\$ 98,575
2	25–48	197,150
3	49–72	295,725
4	73–96	394,300

High schools. For a high school district with a single school, or a single high school maintained by a unified district with less than 287 ADA, and for each qualifying necessary small high school within a district of less than 2,501 ADA, the 2000-01 Necessary Small School amounts will be computed either on the school's ADA or on the number of full-time equivalent certificated employees assigned to the school, whichever provides the lesser amount.

Table 7- Necessary Small School Amounts**High Schools**

Certificated Employees	ADA	Estimated Amount
2 or less	1–19	\$ 80,040
3	1–19	355,920
4	20–38	435,960
5	39–57	516,000
6	58–71	596,040
7	72–86	676,080
8	87–100	756,120
9	101–114	836,160
10	115–129	916,200
11	130–143	996,240
12	144–171	1,076,280
13	172–210	1,156,320
14	211–248	1,236,360
15	249–286	1,316,400

See the “Calendar of Key Deadlines for 2000-01 Apportionments” (Appendix 1) for information regarding reporting dates.

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Opportunity Classes and Programs

[Budget Act Item 6110-127-0001](#)

Resource Code 0000

[Education Code sections 48643 through 48644.5](#)

2000-01 Budget Provisions

- The budget provides \$2.3 million from the General Fund. This includes a 2.29 percent increase for growth and a 3.17 percent COLA.
- The rate per ADA is \$488.

CDE Staff Comments

Districts may receive incentive funding to provide Opportunity Education for identified students in grades seven, eight, and nine. These funds help districts to recover some of the costs related to the educational interventions and guidance services designed to effect positive changes in student behavior through a specialized and structured learning environment. Reimbursement forms are due to CDE by November 30, 2000.

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Regional Occupational Centers and Programs (ROC/Ps)

[Budget Act Item 6110-105-0001](#)

Resource Code 6350

[Education Code sections 52300 through 52335.10](#)

2000-01 Budget Provisions

- The budget provides \$337.4 million from the General Fund. This includes an increase of \$6.6 million for growth at a rate of 2.07 percent and \$10.4 million for a COLA at a rate of 3.17 percent. An additional \$7.3 million is available from reimbursements.
- \$1.2 million is designated for educational activities for recipients eligible for CalWORKs. Allowable activities are limited to those designed to increase self-sufficiency, job training, and work. An additional \$18.3 million is appropriated in Item 6110-156-0001 for recipients eligible for CalWORKs. Those funds may also be used for Regional Occupational Centers and Programs (ROC/Ps).
- A \$26.4 million General Fund augmentation for CalWORKs is provided without an increase in ADA caps. These funds may be used in either an adult education setting or an ROC/P setting. Local educational agencies (LEAs) must first meet CalWORKs maintenance-of-effort requirements and fully claim ADA caps before qualifying for these additional funds. The State Superintendent of Public Instruction may not allocate funds to an LEA until the LEA certifies that the specified services have been provided.
- An indirect cost rate charged to an ROC/P may not exceed the prior-year indirect cost rate approved by CDE, the school district, or the county office of education (COE), as appropriate.
- An indirect cost rate charged by the school districts and COE that provide ROC/P services on behalf of the COE or a joint powers authority, when added together, may not exceed the prior-year indirect cost rate approved by CDE for the school district or COE, whichever is higher.
- ROC/P revenue limit funding must be expended on programs and services offered by the ROC/P.

CDE Staff Comments

Funding for this program is based on ADA reported on Form J-18/19, Report of Attendance. Entitlements are calculated on Form R.

[Education Code Section 52335.4](#) prescribes the formula to calculate an ROC/P's share of the funded growth ADA. The share of an ROC/P will be calculated on the basis of the ratio of the

prior-year ADA in grades 9–12 for school districts served by the ROC/P to the total statewide prior-year ADA in grades 9–12. The School Fiscal Services Division will provide LEAs with the ADA by ROC/P in November 2000. Funding will be apportioned at the First Principal (P-1) apportionment on February 20, 2001.

The \$27.6 million specified for CalWORKs recipients will be apportioned only after an LEA has fully claimed its ROC/P cap and certified that services have been provided to recipients eligible for CalWORKs. The state's portion of these funds will be apportioned at the First Principal (P-1) Apportionment on February 20, 2001. See the "Calendar of Key Deadlines for 2000-01 Apportionments" (Appendix 1) for additional information regarding reporting dates.

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Summer School/Supplemental Instruction Program

[Budget Act Item 6110-104-0001](#)

Resource Code 0000

[Education Code sections 37252 through 37253](#) and [42239](#)

2000-01 Budget Provisions

- [Assembly Bill 1740 \(Chapter 52, Statutes of 2000\)](#) and [Senate Bill 1683 \(Chapter 72, Statutes of 2000\)](#) make a number of changes in supplemental instructional programs. Among other changes, these bills raise the hourly rate to \$3.25.
- The budget provides \$144.5 million from the General Fund for the following:
 - Remedial supplemental instructional programs for pupils enrolled in grades 7–12 who do not demonstrate sufficient progress toward passing the high school exit examination.
 - Programs of direct, systematic, and intensive supplemental instruction for pupils enrolled in grades 7–9 who have been retained or recommended for retention.
- The budget provides \$86.2 million from the General Fund for supplemental instructional programs for pupils in grades 2–6 who are retained, recommended for retention, or at risk of

retention (Section 37252.5) or who have low Standardized Testing and Reporting (STAR) scores (Section 37252.6).

- The funding cap for pupils in grades 2–6 who are retained, recommended for retention, or at risk of retention is eliminated.
- The maximum amount of funding statewide for pupils in grades 2–6 who have low STAR scores is 5 percent of the statewide total enrollment in grades 2–6 for the prior fiscal year multiplied by 120 hours and an hourly rate of \$3.25 (no deficit). A 5 percent enrollment cap also applies to school districts.
- The budget provides \$148.1 million from the General Fund for core supplemental instructional programs.
 - Programs are capped at 7 percent of prior-year enrollment, multiplied by 120 hours and an hourly rate of \$3.25 (no deficit).
 - A minimum funding level of \$6,980 is provided for small school districts with less than 500 ADA that offer at least 1,500 hours of instruction. Small school districts that offer less than 1,500 hours will be reimbursed at the hourly rate of \$4.65.
 - CDE will allocate any unexpended balances first for deficits in mandated programs (remedial grades 7–12 and pupils in grades 2–9 who have been retained or recommended for retention) and second for core supplemental instructional programs, up to 10 percent of a district’s total enrollment.
- The budget also provides \$40 million from the General Fund that has not been allocated by category.

CDE Staff Comments

Local educational agencies are required to offer supplemental instruction to students in grades 2–9 who are retained or recommended for retention and to students in grades 7–12 who do not demonstrate sufficient progress toward passing the exit examination required for high school graduation. Classes may be offered during the summer, before or after school, on Saturday, or during intersession. Services shall not be provided during the pupil’s regular instructional day.

Funding for supplemental instructional programs is based on the number of student hours reported on the attendance forms. See “Calendar of Key Deadlines for 2000-01 Apportionments” (Appendix 1) for information regarding reporting dates.

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